

01-23-02

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CERTIFICATE OF MAILING

I hereby certify that on January 17, 2002* this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231.

37 C.F.R. § 1.8(a)
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Patricia Meade
 PATRICIA MEADE

Applicant: David Hall
 Title: SYSTEM FOR MEMORABILIA ENCAPSULATION AND AUTHENTICATION

Atty Docket No.: 19965-36/JWE

UTILITY PATENT APPLICATION TRANSMITTAL

Post Office Box 7680
 Assistant Commissioner for Patents
 Washington, D.C. 20231

Newport Beach, CA 92660-6441
 January 17, 2002

1. ☒ FEE TRANSMITTAL FORM (Submit an original, and a duplicate for fee processing).

2. ☒ Applicant claims small entity status under 37 CFR §1.27.

3. IF A CONTINUING APPLICATION

This application is a [divisional/cont. or continuation-in-part] of patent application No. _____.
 A copy of this form is enclosed for filing in the prior application.

☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. 60/306,780, filed July 19, 2001.

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under item 4c below, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

4. APPLICATION COMPRISED OF

(a) Specification

14 Specification, claims and Abstract (total pages)

(b) Drawings

2 Sheets of drawing(s) (FIGS. 1 to 4)

(c) Declaration and Power of Attorney

☒ Newly executed
☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation and divisional)
☐ An unsigned declaration

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.: 19965-36/JWE

5. ☐ **Microfiche Computer Program** (*Appendix*)

6. ☐ **Nucleotide and/or Amino Acid Sequence Submission** (*if applicable, all necessary*)

- ☐ Computer Readable Copy
☐ Paper Copy (identical to computer copy)
☐ Statement verifying identity of above copies

7. **ALSO ENCLOSED ARE**

- ☐ Preliminary Amendment
☐ Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers
☒ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
☐ 37 CFR 3.73(b) Statement (where there is an Assignee)
☐ This application is owned by pursuant to an Assignment recorded at Reel __, Frame __
☐ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
☐ English Translation Document (*if applicable*)
☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
☐ **Request and Certification** under 35 U.S.C. 122(b)(2)(B)(i) form PTO/SB/35 or its equivalent is attached.
☐ Other


☒ **The Commissioner is hereby authorized to treat any concurrent or future reply, requiring a petition for an extension of time under 37 CFR § 1.136 for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.**

8. **CORRESPONDENCE ADDRESS**

Please address all correspondence to **STRADLING YOCCA CARLSON & RAUTH, IP Department,**
P.O. Box 7680, 660 Newport Center Drive, Suite 1600, Newport Beach, California 92660-6441.

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

By 
John W. Eldredge
Reg. No. 37,613
(949) 737-4703

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: January 16, 2002

Docket No. : 19965-36/JWE
Inventor(s) : David Hall.
Title : SYSTEM FOR MEMORABILIA ENCAPSULATION AND AUTHENTICATION

FEE CALCULATIONS					
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
A	TOTAL CLAIMS	16 - 20 = <4>	0	0 x \$9.00	\$0.00
B	INDEPENDENT CLAIMS	2 - 3 = <1>	0	0 x \$42.00	\$0.00
C	SUBTOTAL	SMALL ENTITY FEE = A + B LARGE ENTITY FEE = 2 X (A + B)			\$0.00
D	BASIC FEE	SMALL ENTITY FEE = \$370.00 LARGE ENTITY FEE = \$740.00			\$370.00
E	MULTIPLE-DEPENDENT CLAIMS FEE	SMALL ENTITY FEE = \$140.00 LARGE ENTITY FEE = \$280.00			
F	TOTAL FILING FEE (ADD LINES C, D, AND E)				\$370.00
List Independent Claims: 1 and 8					

METHOD OF PAYMENT

Payment Enclosed: Check for \$370.00 and \$40.00 recordation fee).

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 501329. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

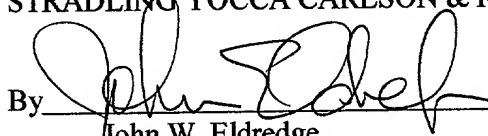
___ No payment is enclosed.

- ☒ **The Commissioner is hereby authorized to treat any concurrent or future reply, requiring a petition for an extension of time under 37 CFR § 1.136 for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.**

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

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John W. Eldredge
Reg. No. 37,613